



Chartered Capital And Investment Limited

Regd. Office : 711, Mahakant, Opp. V.S. Hospital, Ellisbridge, Ahmedabad-380 006. Tel. : 079 - 2657 5337 / 2657 7571 / 2657 8029
E-mail : cs@charteredcapital.net, Website : www.charteredcapital.net, CIN : L45201GJ1986PLC008577.

April 03, 2026

BSE Limited

25th Floor, P J Towers,
Dalal Street, Fort,
Mumbai- 400 001
Scrip Code: 511696

Dear Sir/Madam,

**Sub.: Disclosure under Regulation 30 of the SEBI (LODR) Regulations, 2015
(Listing Regulations) - Discontinuation of Merchant Banking activities
& voluntary surrender of Merchant Banking Registration certificate &
others.**

In compliance with Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we wish to inform you that the Board of Directors of the Company, at its meeting held today, i.e., **Friday, April 03, 2026**, has, inter alia, considered and:

1. Approved the proposal of discontinuation of merchant banking activities and voluntary surrender of its Merchant Banking Registration Certificate granted by the Securities and Exchange Board of India (SEBI). This decision has been taken due to non-operation of merchant banking activities for more than two financial years and in view of prevailing market conditions, as the Company does not intend to continue such activities in the future. The Company shall make the necessary application to SEBI for surrender of the Merchant Banking Registration Certificate in accordance with applicable regulations.
2. On the recommendation of the Nomination and Remuneration Committee, approved the appointment of Mr. Nevil Sheth, Company Secretary & Compliance Officer under the SEBI (LODR) Regulations, 2015 as the Compliance Officer in terms of Regulation 28A of SEBI (Merchant Bankers) Regulations, 1992 & Regulation 14 of the SEBI (Intermediaries) Regulations, 2008, with effect from April 03, 2026, in place of Mr. Sagar Bhatt due to regulatory requirements mandating separation of roles. Accordingly, Mr. Sagar Bhatt ceases to be the Compliance Officer of the Company under Regulation 28A of the SEBI (Merchant Bankers) Regulations, 1992 & Regulation 14 of the SEBI (Intermediaries) Regulations, 2008. Mr. Sagar Bhatt will continue to be associated with the Merchant Banking Division of the Company till the completion of surrender of the Merchant Banking Registration Certificate.

Details as required to be disclosed as per the LODR Regulations read with SEBI's Master Circular No. HO/49/14/14(7)2025-CFD-POD2/I/3762/2026 dated January 30, 2026, are enclosed herewith as Annexure.



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The meeting of the Board of Directors commenced at 04:00 p.m. and concluded at 5:35 p.m.

Kindly take the above on record and disseminate.

Thanking You,

Yours Faithfully,

For Chartered Capital and Investment Limited

Nevil Sheth

*Company Secretary &
Compliance Officer*

Encl. : As Above



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Annexure

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Relevant Details in connection to the surrender of Merchant Banking Registration Certificate

Sr. No.	Name	:	Details
1.	Name of the regulatory or licensing authority	:	The Securities and Exchange Board of India (SEBI)
2.	Brief details of the approval/license obtained/ withdrawn/ surrendered	:	Permanent Registration as Category 1 Merchant Banker
3.	Impact/relevance of such approval/license to the listed entity	:	Not Applicable
4.	Withdrawal/cancellation or suspension of licence/ approval by the regulatory or licensing authority, with reasons for such action, estimated impact (monetary or otherwise) on the listed entity and penalty, if any	:	<p>The Company voluntarily decided to surrender the Registration Certificate due to non-operation of the business related to merchant banking for more than two financial years and in view of prevailing market conditions.</p> <p>There is no impact (monetary or otherwise) on the listed entity/Company i.e. Chartered Capital and Investment Limited</p>
5.	Period for which such approval/license is/was valid	:	Not applicable, as the registration is permanent
6.	Subsequently, the listed entity shall inform the stock exchange(s), the actual impact (monetary or otherwise) along with corrective actions taken by the listed entity pursuant to the withdrawal, cancellation or suspension of the key license/ approval	:	Not Applicable



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Sr. No.	Name	:	Details	Details
1.	Reason for change viz. appointment, re-appointment, resignation, removal, death or otherwise	:	Appointment of Mr. Nevil Sheth as the Compliance Officer under Regulation 28A of the SEBI (Merchant Bankers) Regulations, 1992 & Regulation 14 of the SEBI (Intermediaries) Regulations, 2008	Cessation of Mr. Sagar Bhatt as Compliance Officer of the Company under Regulation 28A of the SEBI (Merchant Bankers) Regulations, 1992 & Regulation 14 of the SEBI (Intermediaries) Regulations, 2008*
2.	Date of appointment / re-appointment / cessation and term of appointment / re-appointment	:	With effect from April 03, 2026	With effect from April 03, 2026
3.	Brief profile (in case of appointment)	:	Mr. Nevil D Sheth (ACS 71083) holds a degree of B.COM. from Gujarat University and is an associate member of Institute of Company Secretaries of India (ICSI). He has more than 6 (six) years' experience in Secretarial field.	Not applicable
4.	Disclosure of relationships between directors (in case of appointment of a director)	:	Not applicable	Not applicable

* He will continue to be associated with the Merchant Banking Division of the Company in compliance of the Regulation 6(b) of the SEBI (Merchant Bankers) Regulations, 1992 till the completion of surrender of the Merchant Banking Registration Certificate