

REGISTER OF DIRECTORS AND KEY MANAGERIAL PERSONNEL
(Pursuant to section 170 of the Companies Act, 2013 and rule 17 of the Companies Act, 2013)

Name of the Company : Chartered Capital And Investment Ltd.

(TO BE MAINTAINED SEPARATELY FOR EACH DIRECTOR AND KEY MANAGERIAL PERSONNEL)

Director Identification Number : 00010365
(optional for key managerial personnel)

Present name and surname in full : MOHIB NOMANBHAI KHERICHA

Any former name or surname in full : —

Father's Name : NOMANBHAI KHERICHA

Mother's Name : MOTIBEN KHERICHA

Spouse's Name (if married) and Surname in Full : SOFIA M KHERICHA

Date of birth : 04/08/1952

Residential address:
Pr sent : _____
Permanent : 4, Sarika Kunj Society, Behind NID Hotel, Paldi, Ahmedabad

Details of securities held in the Company, its holding company, subsidiaries, 3 & 4

Sr. No.	Name of the company	Number of Securities	Description of Securities	Nominal Value of Securities (Rs.)	Date of Acquisition	Price Paid for acquisition of securities (Rs.)
1	2	3	4	5	6	7
1.	Chartered Capital And Inv. Ltd	10	Equity Shr	10	21.3.86	100
2.	" "	90	"	10	25.04.86	900
3.	" "	1,25,000	"	10	30.09.93	12,50,000
4.	" "	50,000	"	10	30.09.94	5,00,000
5.	" "	80,000	"	10	19.11.94	8,00,000
6.	" "	82,900	"	10	06.03.95	16,58,000
7.	" "	259,600	"	10	04.01.2000	12,48,000
8.	" "	200	"	10	07.09.2000	3225
9.	" "	3000	"	10	30.11.2000	19,200
10.	" "	300	"	10	15.06.2001	3225
11.	" "	300	"	10	21.06.2001	2,100
12.	" "	200	"	10	29.06.2001	1,270
13.	" "	33,250	"	10	13.01.2004	66,500
14.	" "	149,000	"	10	11.11.2010	71,32,876

PERSONNEL AND THEIR SHAREHOLDING
(Appointment and Qualification of Directors) Rules, 2014)

Registered office address : T.I. Mahakant, Opp V.S. Hospital, Ellisbridge, Ahmedabad - 380016
Maharajda,

Nationality (including the nationality of origin, if different) : INDIAN.

Occupation : BUSINESS

Date of the board resolution in which the appointment was made : SINCE INCORPORATION.

Date of appointment and reappointment in the company : _____

Date of cessation of office and reasons therefor : _____

Office of director or Key Managerial Personnel held or relinquished in any other body corporate : _____

Membership number of the Institute of Company Secretaries of India in case of Company Secretary, if applicable : _____

Permanent Account Number (Mandatory for Key Managerial Personnel if not having DIN) : A6MPK8152H

subsidiaries of the company's holding company and associate companies:

Other consideration paid for acquisition	Date of disposal	Price received on disposal	Other Consideration received on disposal	Cumulative balance and number of securities held after each transaction	Mode of acquisition of securities	Mode of holding physical or in dematerialized form	Securities have been pledged or any encumbrance has been created
8	9	10	11	12	13	14	15
—				10	Cash	Demat	N.A.
—				100	Cash	Demat	N.A.
—				125100	Cash	Demat	N.A.
—				175100	Cash	Demat	N.A.
—				255100	Cash	Demat	N.A.
—				338000	Cash	Demat	N.A.
—				587600	Cash	Demat	N.A.
—				587800	Cash	Demat	N.A.
—				500800	Cash	Demat	N.A.
—				591100	Cash	Demat	N.A.
—				591400	Cash	Demat	N.A.
—				591600	Cash	Demat	N.A.
—				624850	Cash	Demat	N.A.
—				773850	Cash	Demat	N.A.

MEMBER TRANSFER DIRECTORS / KMP & HOLDING

CHARGES CONTRACT INVESTMENT LOAN & GUARANTEE

REGISTER OF DIRECTORS AND KEY MANAGERIAL

(Pursuant to section 170 of the Companies Act, 2013 and rule 17 of the Companies

Name of the Company Chartered Capital And Investment LTD.

(TO BE MAINTAINED SEPARATELY FOR EACH

Director Identification Number : 00010365
 (optional for key managerial personnel)
 Present name and surname in full : Mohib Nomanbhai Khericha
 Any former name or surname in full : —
 Father's Name : Nomanbhai Khericha
 Mother's Name : Motiben Khericha
 Spouse's Name (if married) and Surname in Full : Sofia M Khericha
 Date of birth : 04/08/1952
 Residential address:
 Present : —
 Permanent : 4, Sarasita Kunj Society, Behind NIO Hostel, Palodi, Ahmedabad

Details of securities held in the Company, its holding company, subsidiaries, 380006

Sr. No.	Name of the company	Number of Securities	Description of Securities	Nominal Value of Securities (Rs.)	Date of Acquisition	Price Paid for acquisition of securities (Rs.)
1	2	3	4	5	6	7
15.	Chartered Capital And Investment Ltd	118067	Equity Sh.	10	21.03.2012	5091922
16.	" "	29265	"	10	26.03.2012	1352867
17.	" "	114320	"	10	01.03.2013	4103717
18.	" "	44885	"	10	12.03.2014	1955980
19.	" "	606150	"	10	24.03.2017	2,73,4,656
20.	" "	143605	"	10	16.01.2015	59,02,557
21.	" "	139250	"	10	07.10.2016	63,75,205
22.	" "	10188	"	10	03.03.2020	1006267
23.	" "	14810	"	10	11.03.2020	808181
24.	" "	41689	"	10	29.07.2020	22,39,136
25.	" "	16695	"	10	17.02.2021	8,99,970
26.	" "	59000	"	10	24.08.2021	25,00,000

PERSONNEL AND THEIR SHAREHOLDING

(Appointment and Qualification of Directors) Rules, 2014)

Registered office address : F-11, Mahakant, opp. V.S. Hospital, Ellisbridge, Ahmedabad-380006
Maharashtra

DIRECTOR AND KEY MANAGERIAL PERSONNEL)
 Nationality (including the nationality of origin, if different) : Indian
 Occupation : BUSINESS
 Date of the board resolution in which the appointment was made : Since Incorporation
 Date of appointment and reappointment in the company : —
 Date of cessation of office and reasons therefor : —
 Office of director or Key Managerial Personnel held or relinquished in any other body corporate : —
 Membership number of the Institute of Company Secretaries of India in case of Company Secretary, if applicable : —
 Permanent Account Number (Mandatory for Key Managerial Personnel if not having DIN) : AGMPK8152H

subsidiaries of the company's holding company and associate companies:

Other consideration paid for acquisition	Date of disposal	Price received on disposal	Other Consideration received on disposal	Cumulative balance and number of securities held after each transaction	Mode of acquisition of securities	Mode of holding physical or in dematerialized form	Securities have been pledged or any encumbrance has been created
8	9	10	11	12	13	14	15
—				891917	Cash	Demat	N.A.
—				921182	Cash	Demat	N.A.
—				1035502	Cash	Demat	N.A.
—				1090347	Cash	Demat	N.A.
—				1686497	Cash	Demat	N.A.
—				1830102	Cash	Demat	N.A.
—				1969352	Cash	Demat	N.A.
—				1987540	Cash	Demat	N.A.
—				202350	Cash	Demat	N.A.
—				2044039	Cash	Demat	N.A.
—				2060734	Cash	Demat	N.A.
—				21,10,734	Cash	Demat	N.A.

REGISTER OF DIRECTORS AND KEY MANAGERIAL

(Pursuant to section 170 of the Companies Act, 2013 and rule 17 of the Companies

Name of the Company : Chartered Capital And Investment LTD.

(TO BE MAINTAINED SEPARATELY FOR EACH

Director Identification Number : 00010733
 (optional for key managerial personnel)

Present name and surname in full : Amrik Lal Sanghvi

Any former name or surname in full : _____

Father's Name : Rikhabchand Sanghvi

Mother's Name : Paemkunwar Sanghvi

Spouse's Name (if married) and Surname in Full : Sumitra Sanghvi

Date of birth : 21.01.1948

Residential address:

Present : _____

Permanent : B-902 Sundarvan Epitome Bld. Sundarvan Satellite Road Ahmedabad

Details of securities held in the Company, its holding company, subsidiaries, & 80015

Sr. No.	Name of the company	Number of Securities	Description of Securities	Nominal Value of Securities (Rs)	Date of Acquisition	Price Paid for acquisition of securities (Rs)
1.	Chartered Capital And Investment	200	Equity Sh.	10	25.04.86	2000
2.	" "	49500	"	10	30.09.93	495000
3.	" "	35000	"	10	06.03.95	700000
4.	" "	1000	"	10		
5.	" "	150400	"	10	04.01.2000	752000
6.	" "	100000	"	10	04.01.2000	500000
7.	" "	1500	"	10		
8.	" "	54350	"	10	01.11.12	Nil
9.	" "	6000	"	10	01.11.12	Nil
10.	" "	347950	"	10		
11.	" "	50,000	"	10		

PERSONNEL AND THEIR SHAREHOLDING

(Appointment and Qualification of Directors) Rules, 2014)

Registered office address : 711, Mahakant, Opp. V.S. Hospital, Ellisbridge, Ahmedabad-380006
Maharajida,

DIRECTOR AND KEY MANAGERIAL PERSONNEL)

Nationality (including the nationality of origin, if different) : INDIAN

Occupation : Business

Date of the board resolution in which the appointment was made : 11.01.2005

Date of appointment and reappointment in the company : 11.01.2005 & since incorporation

Date of cessation of office and reasons therefor : _____

Office of director or Key Managerial Personnel held or relinquished in any other body corporate : _____

Membership number of the Institute of Company Secretaries of India in case of Company Secretary, if applicable : _____

Permanent Account Number (Mandatory for Key Managerial Personnel if not having DIN) : ABIPS3413N.

subsidiaries of the company's holding company and associate companies:

Other consideration paid for acquisition	Date of disposal	Price received on disposal	Other Consideration received on disposal	Cumulative balance and number of securities held after each transaction	Mode of acquisition of securities	Mode of holding physical or in dematerialized form	Securities have been pledged or any encumbrance has been created
-				200	Cash	Demat	N.A.
-				49700	Cash	Demat.	N.A.
-				84700	Cash	Demat	N.A.
-				85700	Cash	Demat	N.A.
-				236100	Cash	Demat	N.A.
-				336100	Cash	Demat	N.A.
-				337600	Cash	Demat	N.A.
-			Gift from son	391950	Cash	Demat	N.A.
-			Gift from son	397950	Cash	Demat	N.A.
-	24.03.14	15620491		50000	Cash	Demat	N.A.
-	24.08.2022	25,00,000		Nil	Cash	Demat	N.A.

REGISTER OF DIRECTORS AND KEY MANAGERIAL

(Pursuant to section 170 of the Companies Act, 2013 and rule 17 of the Companies

Name of the Company: Chartered Capital And Investment LTD.

(TO BE MAINTAINED SEPARATELY FOR EACH

Director Identification Number : 02695350

(optional for key managerial personnel)

Present name and surname in full : Sofia M Khezicha

Any former name or surname in full : -

Father's Name : T. N. Khorakiwala

Mother's Name : Shiaeenben Khorakiwala

Spouse's Name (if married) and Surname in Full : Mohib N Khezicha

Date of birth : 13.11.1957

Residential address:

Present :

Permanent : 4, Sarika Kunj Society, Behind NID Hostel, Paldi, Ahmedabad

Details of securities held in the Company, its holding company, subsidiaries,

PERSONNEL AND THEIR SHAREHOLDING

(Appointment and Qualification of Directors) Rules, 2014)

Registered office address : 711 Mahakant, OPP. V.S. Hospital, Ellisbridge, Ahmedabad-380006
Maharashtra

DIRECTOR AND KEY MANAGERIAL PERSONNEL)

Nationality (including the nationality of origin, if different) : INDIAN

Occupation

Date of the board resolution in which the appointment was made : 28.08.2014

Date of appointment and reappointment in the company : 30.09.2014

Date of cessation of office and reasons therefor :

Office of director or Key Managerial Personnel held or relinquished in any other body corporate :

Membership number of the Institute of Company Secretaries of India in case of Company Secretary, if applicable : -

Permanent Account Number (Mandatory for Key Managerial Personnel if not having DIN) : AGTTPK3860H

subsidiaries of the company's holding company and associate companies:

Sr. No.	Name of the company	Number of Securities	Description of Securities	Nominal Value of Securities (Rs)	Date of Acquisition	Price Paid for acquisition of securities (Rs)	Other consideration paid for acquisition	Date of disposal	Price received on disposal	Other Consideration received on disposal	Cumulative balance and number of securities held after each transaction	Mode of acquisition of securities	Mode of holding physical or in dematerialized form	Securities have been pledged or any encumbrance has been created
1	Chartered Capital And Investment LTD.	169000	Equity Share	10	30.09.93	1690000	-	-	-	-	169000	Cash	Demat	N.A.
2	"	107500	"	10	19.11.94	1075000	-	-	-	-	276500	Cash	Demat	N.A.
3	"	47500	"	10	-	-	-	-	950000	-	229000	Cash	Demat	N.A.
4	"	100000	"	10	-	-	-	26.12.07	2000000	-	129000	Cash	Demat	N.A.

REGISTER OF DIRECTORS AND KEY MANAGERIAL

(Pursuant to section 170 of the Companies Act, 2013 and rule 17 of the Companies

Name of the Company Chartered Capital And Investment L.T.O.

(TO BE MAINTAINED SEPARATELY FOR EACH

Director Identification Number :
(optional for key managerial personnel)

Present name and surname in full : Javed Mehdi S Saiyed.

Any former name or surname in full : -

Father's Name : Sajjad Mehdi Saiyed

Mother's Name : Sultana Saiyed

Spouse's Name (if married) and Surname in Full : Shahen Saiyed

Date of birth : 20.08.1968

Residential address:

Present : -

Permanent : A-52, Ajit Residency, Ajit Mills Compound, Rakhial, Ahmedabad

Details of securities held in the Company, its holding company, subsidiaries, 28/02/14

Sr. No.	Name of the company	Number of Securities	Description of Securities	Nominal Value of Securities	Date of Acquisition	Price Paid for acquisition of securities
1	2	3	4	5	6	7
1.	Chartered Capital And Inv. L.T.O.	130526	Equity Sh.	10	Opening Balance	130526
2.	" "	3000	"	10	01.10.14	130980
3.	" "	210	"	10	07.10.14	9567.60
4.	" "	600	"	10	08.10.14	26436
5.	" "	100	"	10	16.10.14	4406
6.	" "	2500	"	10	17.10.14	111400
7.	" "	99	"	10	28.10.14	4361.94
8.	" "	400	"	10	29.10.14	17704
9.	" "	1100	"	10	30.10.14	48466
10.	" "	1000	"	10	31.10.14	44060
11.	" "	880	"	10	26.03.18	48148.60

PERSONNEL AND THEIR SHAREHOLDING

(Appointment and Qualification of Directors) Rules, 2014)

Registered office address : 711, Mahakant, OPP. V.S. Hospital, Ellisbridge, Ahmedabad - 380006, Maharashtra.

DIRECTOR AND KEY MANAGERIAL PERSONNEL

Nationality (including the nationality of origin, if different) : INDIAN

Occupation : Service

Date of the board resolution in which the appointment was made : 28.08.2014 (As CFO)

Date of appointment and reappointment in the company : 01.04.2014

Date of cessation of office and reasons therefor : -

Office of director or Key Managerial Personnel held or relinquished in any other body corporate : -

Membership number of the Institute of Company Secretaries of India in case of Company Secretary, if applicable : -

Permanent Account Number (Mandatory for Key Managerial Personnel if not having DIN) : ABHPS9302B

subsidiaries of the company's holding company and associate companies:

Other consideration paid for acquisition	Date of disposal	Price received on disposal	Other Consideration received on disposal	Cumulative balance and number of securities held after each transaction	Mode of acquisition of securities	Mode of holding physical or in dematerialized form	Securities have been pledged or any encumbrance has been created
8	9	10	11	12	13	14	15
				on date of appointed as CFO	Cash	Demat	N.A.
				130526	Cash	Demat	N.A.
				133736	Cash	Demat	N.A.
				134336	Cash	Demat	N.A.
				134436	Cash	Demat	N.A.
				136936	Cash	Demat	N.A.
				137035	Cash	Demat	N.A.
				137435	Cash	Demat	N.A.
				138535	Cash	Demat	N.A.
				139535	Cash	Demat	N.A.
				140415	Cash	Demat	N.A.

DRAFT SUPPLEMENTAL AGREEMENT TO THE AGREEMENT DATED [●], 2021

THIS SUPPLEMENTAL AGREEMENT (hereinafter referred to as **AMENDEMENT - 1**) is made on _____, 2021 BY AND BETWEEN:

M/s. Chartered Capital And Investment Limited, a Company incorporated under the Companies Act, 1956 and having its registered office at 711, Mahakant, Opp VS Hospital, Ellisbridge, Ahmedabad – 380006, (hereinafter referred to as “the Company”) of the **One Part**

And

Mr. Mohib N Khericha (DIN: 00010365) aged 69 years S/o. late Mr. Noman Khericha residing at 4, Sarita Kunj Society, Behind NID Hostel, Paldi, Ahmedabad - 380007 of the **Other Part**.

Whereas at the Annual General meeting held on September 29, 2018, Mr. Mohib N Khericha was re-appointed as Managing Director of the Company for a period of 5 years with effect from April 1, 2019 on the terms and conditions as to the re-appointment and payment of remuneration by way of salary, perquisites and allowances for the period of 3 years with effect from April 1, 2019 upto March 31, 2022.

Whereas, the Company accordingly entered into an Agreement on October 15, 2018 with Mr. Mohib N Khericha re-appointing him as Managing Director of the Company for a period of five years with effect from April 1, 2019 with payment of remuneration by way of salary, perquisites and allowances for the period of 3 years with effect from April 1, 2019 upto March 31, 2022, and other terms and conditions as approved herein above (**“Employment Agreement”**);

Whereas at the General meeting held on September 29, 2021 the shareholders have approved the payment of remuneration and benefits payable to Mr. Mohib N Khericha, Managing Director on same terms and conditions of remuneration as applicable to the period April 1, 2019 upto March 31, 2022, for the remaining period of 2 (two) years of his existing term as Managing Director of the Company, with effect from April 1, 2022 upto March 31, 2024;

NOW IT IS HEREBY AGREED AS FOLLOWS:

1. INTERPRETATION:

This Amendment -1 shall form part of the Employment Agreement and shall be read in conjunction with the Employment Agreement.

2. AMENDMENT:

2.1 The para relating to period of remuneration in Clause 2.1 of the Employment Agreement in respect of remuneration payable to Mr. Mohib N Khericha is amended by extending the terms of the remuneration payable to him on same terms and conditions of remuneration as applicable to the period April 1, 2019 upto March 31, 2022, for the remaining period of 2 (two) years of his existing term as Managing Director of the Company, with effect from April 1, 2022 upto March 31, 2024.

Accordingly, the remuneration, other benefits, reimbursement of expenses and benefits etc, shall be payable to Mr. Mohib N Khericha for additional period of 2 years i.e. for the remaining period of 2 (two) years of his existing term as Managing Director of the Company, with effect from April 1, 2022 upto March 31, 2024

3. This Amendment – 1 shall be effective from April 1 2022 and is limited by its terms and shall not serve to amend any provisions of the Employment Agreement except, as expressly provided for in this Amendment - 1.

In witness whereof, the Common Seal has been hereunto affixed and Mr. Mohib N Khericha has hereto set his hand the day and year first hereinabove written.

The Common Seal of the within named Chartered Capital And Investment Limited is hereunto affixed in the presence of of Mr. A L Sanghvi who has set his hands hereto.

Signed by Mr. Mohib N Khericha
in the presence of Mr. A L Sanghvi