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General information about company

| | |
|--|---|
| Scrip code | 511696 |
| NSE Symbol | NA |
| MSEI Symbol | NA |
| ISIN | INE953B01010 |
| Name of the entity | CHARTERED CAPITAL AND INVESTMENT LIMITED |
| Date of start of financial year | 01-04-2020 |
| Date of end of financial year | 31-03-2021 |
| Reporting Quarter | Quarterly |
| Date of Report | 30-06-2020 |
| Risk management committee | Not Applicable |
| Market Capitalisation as per immediate previous Financial Year | Any other |

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

| Disclosure of notes on composition of board of directors explanatory | | Add Notes | | | | | | | | | | | | | | | | | | |
|--|-----------------|----------------------|------------|----------|--|-------------------------|-------------------------|---------------|---|------------------------------------|-----------------------------|------------------------|-------------------|--------------------------------|--|---|--|--|-----------------------------|-----------------------------|
| Whether the listed entity has a Regular Chairperson | | | | Yes | | | | | | | | | | | | | | | | |
| Whether Chairperson is related to MD or CEO | | | | No | | | | | | | | | | | | | | | | |
| Sr | Title (Mr / Ms) | Name of the Director | PAN | DIN | Category 1 of directors | Category 2 of directors | Category 3 of directors | Date of Birth | Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations] | Date of passing special resolution | Initial Date of appointment | Date of Re-appointment | Date of cessation | Tenure of director (in months) | No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations) | No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations) | Number of memberships in Audit/ Stakeholder Committees) including this listed entity (Refer Regulation 26(1) of Listing Regulations) | No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations) | Notes for not providing PAN | Notes for not providing DIN |
| Add | | Delete | | | | | | | | | | | | | | | | | | |
| 1 | Mr | Ashok Kaulia | AQPK8069P | 00054601 | Non-Executive - Independent Director | Chairperson | Shareholder Director | 11-08-1962 | NA | | 28-02-2003 | 30-09-2019 | | 208 | 2 | 2 | 2 | 2 | | |
| 2 | Mr | Amrithal R Sanghvi | ABIP53413N | 00010733 | Non-Executive - Non Independent Director | Not Applicable | | 21-01-1948 | NA | | 11-01-2005 | 29-09-2018 | | | 1 | 0 | 2 | 0 | | |
| 3 | Mr | Mohib N Khericha | AGMPK8152H | 00010365 | Executive Director | Not Applicable | MD | 04-08-1952 | NA | | 21-03-1986 | 29-09-2018 | | | 3 | 1 | 2 | 3 | | |
| 4 | Mr | Deepak Singhu | AAIP53472L | 00433635 | Non-Executive - Independent Director | Not Applicable | Shareholder Director | 16-05-1966 | NA | | 18-10-2006 | 30-09-2019 | | 164 | 1 | 1 | 1 | 0 | | |
| 5 | Mrs | Sofia M Khericha | AGTPK3860H | 02695350 | Non-Executive - Non Independent Director | Not Applicable | | 13-11-1957 | NA | | 30-09-2014 | 30-09-2019 | | | 1 | 0 | 0 | 0 | | |

Annexure 1

II. Composition of Committees

Disclosure of notes on composition of committees explanatory

Add Notes

For this quarter kindly note the following points:

1. Date of Appointment and Date of Cessation (if applicable) must be mandatorily filled for every Committee.
2. Date of Appointment can be any day upto June 30, 2020.
3. Date of Cessation must be for the current quarter only, i.e. October 1,2019 to December 31,2019.

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

| Audit Committee Details | | | | | | | |
|---|------------|---------------------------|--|-------------------------|---------------------|-------------------|---------|
| Whether the Audit Committee has a Regular Chairperson | | | | | Yes | | |
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1 | 00054601 | Ashok Kavdia | Non-Executive - Independent Director | Chairperson | 28-02-2003 | | |
| 2 | 00010733 | Amrittal R Sanghvi | Non-Executive - Non Independent Director | Member | 11-01-2005 | | |
| 3 | 00433635 | Deepak Singhvi | Non-Executive - Independent Director | Member | 12-07-2019 | | |
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| 8 | | | | | | | |
| 9 | | | | | | | |
| 10 | | | | | | | |

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

| Nomination and remuneration committee | | | | | | | |
|---|------------|---------------------------|--|-------------------------|---------------------|-------------------|---------|
| Whether the Nomination and remuneration committee has a Regular Chairperson | | | | | Yes | | |
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1 | 00433635 | Deepak Singhvi | Non-Executive - Independent Director | Chairperson | 12-07-2019 | | |
| 2 | 00054601 | Ashok Kavdia | Non-Executive - Independent Director | Member | 28-02-2003 | | |
| 3 | 00010733 | Amrittal R Sanghvi | Non-Executive - Non Independent Director | Member | 02-03-2003 | | |
| 4 | | | | | | | |
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| 10 | | | | | | | |

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

| Stakeholders Relationship Committee | | | | | | | |
|---|------------|---------------------------|--|-------------------------|---------------------|-------------------|---------|
| Whether the Stakeholders Relationship Committee has a Regular Chairperson | | | | | Yes | | |
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1 | 00054601 | Ashok Kavdia | Non-Executive - Independent Director | Chairperson | 28-02-2003 | | |
| 2 | 00010733 | Amrittal R Sanghvi | Non-Executive - Non Independent Director | Member | 11-01-2005 | | |
| 3 | 00010365 | Mohib N Khericha | Executive Director | Member | 17-11-2005 | | |
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Disclosure of notes on meeting of board of directors explanatory

[Add Notes](#)

| Sr | Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order) | Maximum gap between any two consecutive (in number of days) | Notes for not providing Date | Whether requirement of Quorum met (Yes/No) | Number of Directors present* | No. of Independent Directors attending the meeting* |
|--|---|---|------------------------------|--|------------------------------|---|
| Add Delete | | | | | | |
| 1 | 13-02-2020 | | | Yes | 5 | 2 |
| 2 | 05-06-2020 | 112 | | Yes | 4 | 1 |
| 3 | 30-06-2020 | 24 | | Yes | 5 | 2 |

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Disclosure of notes on meeting of committees explanatory

[Add Notes](#)

| Sr | Name of Committee | Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order) | Maximum gap between any two consecutive (in number of days) | Name of other committee | Reason for not providing date | Whether requirement of Quorum met (Yes/No) | Number of Directors present* | No. of Independent Directors attending the meeting* |
|----|-------------------------------------|---|---|-------------------------|-------------------------------|--|------------------------------|---|
| 1 | Audit Committee | 13-02-2020 | | | | Yes | 3 | 2 |
| 2 | Stakeholders Relationship Committee | 13-02-2020 | | | | Yes | 3 | 1 |
| 3 | Audit Committee | 30-06-2020 | 137 | | | Yes | 3 | 2 |

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| Annexure 1 | | | |
|--|--|-------------------------------|--|
| V. Related Party Transactions | | | |
| Sr | Subject | Compliance status (Yes/No/NA) | If status is "No" details of non-compliance may be given here. |
| 1 | Whether prior approval of audit committee obtained | NA | |
| 2 | Whether shareholder approval obtained for material RPT | NA | |
| 3 | Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee | NA | |
| Disclosure of notes on related party transactions | | | Add Notes |
| Disclosure of notes of material transaction with related party | | | Add Notes |

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Annexure 1

VI. Affirmations

| Sr | Subject | Compliance status (Yes/No) |
|----|--|----------------------------|
| 1 | The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015 | Yes |
| 2 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee | Yes |
| 3 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee | Yes |
| 4 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee | Yes |
| 5 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities) | NA |
| 6 | The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. | Yes |
| 7 | The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. | Yes |
| 8 | This report and/or the report submitted in the previous quarter has been placed before Board of Directors. | Yes |
| 9 | Any comments/observations/advice of Board of Directors may be mentioned here: | Add Notes |

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Annexure 1

| Sr | Subject | Compliance status |
|----|-------------------|-------------------------|
| 1 | Name of signatory | Manoj Kumar Ramrakhyani |
| 2 | Designation | Company Secretary |

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Signatory Details

| | |
|-----------------------|-------------------------|
| Name of signatory | Manoj Kumar Ramrakhyani |
| Designation of person | Company Secretary |
| Place | Ahmedabad |
| Date | 10-07-2020 |