Form No. MGT-9

Extract of Annual Return as on the financial year ended on March 31, 2019

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

CIN	L45201GJ1986PLC008577				
Registration Date	March 21, 1986				
Name of the Company	Chartered Capital And Investment Limited				
Category / Sub-Category of the Company	Public Limited Company, Limited by Shares				
Address of the Registered office and contact	711, Mahakant, Opp. V S Hospital, Ellisbridge,				
details	Ahmedabad- 380006				
	Tel: 079-2657 5337, 2657 7571				
	Fax: 079-2657 5731				
	Email: info@charteredcapital.net				
Whether listed company	Yes				
Name, Address and Contact details of	Link Intime India Private Limited				
Registrar and Transfer Agent, if any	C-101, 247 Park,				
	L.B.S. Marg, Vikhroli (West),				
	Mumbai – 400 083				
	Tel No : +91 22 49186270				
	E-mail id : rnt.helpdesk@linkintime.co.in				
	Website : www.linkintime.co.in				

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sl. No.	Name and Description of main	NIC Code of the	% to total turnover of
	products / services	Product/ service	the company
1	Merchant Banking/	649	41.76
	Professional Advisory Services		
2	Investment Income, Dividend	649	58.23
	Income and Interest Income		

Source: National Industrial Classification 2008, Ministry of Statistics and Programme Implementation

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES -

S. N0	NAME AND	CIN/GLN	HOLDING/	% of shares	Applicable		
	ADDRESS OF		SUBSIDIARY/	held	Section		
	THE COMPANY		ASSOCIATE				
1	Not Applicable as there is no holding, subsidiary or associate company.						

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) Category-wise Share Holding

Category Shareholders	of	No. of Sh	No. of Shares held at the end of the year i.e. March 31, 2018			No. of Shares held at the end of the year i.e. March 31, 2019				% Change during the year	
		Demat	Physical	Total	% of Total Shares	Demat	Physica I	Total	% Tota Shar		
A. Promoters											
(1) Indian											

a) Individual /HUF	2148352	0	2148352	71.34	2148352	0	2148352	71.34	0.00
b) Central Govt /	0	0	0	0	0	0	0	0	0.00
State Govt									
c) Bodies Corp.	0	0	0	0	0	0	0	0	0.00
d) Banks / FI	0	0	0	0	0	0	0	0	0.00
e) Any Other	0	0	0	0		0	0	0	0.00
Sub-total (A) (1) :-	2148352	0	2148352		2148352		2148352	71.34	0.00
(2) Foreign	2140332		2140332	71.54	2140332		2140332	71.54	0.00
	0	0	0	0	0	0	0	0	0.00
		+			1				0.00
b) Other –	0	0	0	0	0	0	0	0	0.00
Individuals	_		_	_			_		
c) Bodies Corp.	0	0	0	0		0		0	0.00
d) Banks/FI	0	0	0	0	0	0	0	0	0.00
e) Any Other	0	0	0	0	0	0	0	0	0.00
Sub-total (A) (2) :-	0	0	0	0	0	0	0	0	0.00
Total shareholding of	2148352	0	2148352	71.34	2148352	0	2148352	71.34	0.00
Promoter (A) =									
(A)(1)+(A)(2)									
B. Public Shareholding									
1. Institutions									
a) Mutual Funds/UTI	0	0	0	0	0	0	0	0	0.00
	0	0	0			0			
b) Banks / FI				0				0	0.00
c) Central Govt /	0	0	0	0	0	0	0	0	0.00
State Govt									
d) Venture Capital	0	0	0	0	0	0	0	0	0.00
Funds									
e) Insurance	0	0	0	0	0	0	0	0	0.00
Companies									
f) FIIs	0	0	0	0	0	0	0	0	0.00
g) Foreign Venture	0	0	0	0	0	0	0	0	0.00
Capital Funds									
h) Others (specify)	0	0	0	0	0	0	0	0	0.00
Sub-total (B) (1) :-	0	0	0	0		0		0	0.00
2. Non-Institutions			- C						0.00
a) Bodies Corp.								-	
	103402	200	103702	2.44	111507	200	111007	2 71	0.27
i) Indian		300		3.44	 	300	1	3.71	0.27
ii) Overseas	0	0	0	0	0	0	0	0	0.00
b) Individuals									
i) Individual	196985	103130	300115	9.97	192746	99630	292376	9.71	-0.26
shareholders									
holding nominal									
share capital upto									
Rs. 1 lakh									
ii) Individual	409109	0	409109	13.58	399532	_	399532	13.27	-0.31
shareholders									
holding nominal									
share capital in						0			
excess of Rs 1 lakh									
c) Others (specify)									
Clearing Member	7733	0	7733	0.26	17511	0	17511	0.58	0.32
NRI (Repat.)	1000	0	1000	0.03		0	1	0.03	0.0
HUF	41589	0	41589	1.38		0		1.36	-0.02
	759818	103430				99930		28.66	0.02
Sub-total (B) (2) :-			863248	28.66				-	
Total Public	759818	103430	863248	28.66	763318	99930	863248	28.66	0.00
Shareholding									
(B)=(B)(1)+ (B)(2)								\longrightarrow	
C. Shares held by	0	0	0	0	0	0	0	0	
Custodian for GDRs &					i	,	i		
ADRs	I I	1	l l			'		1	

Grand Total (A+B+C)	2908170	103430	3011600	100.00	2911670	99930	3011600	100.00	0.00

Note: NRI (Non Repatriable) shareholder(s) forms part of individuals only.

ii) Shareholding of Promoters

SI.	Shareholder's	Share hold	ing at the en	d of the year	Share hold	ing at the en	d of the year	% change
No.	Name	i.e	. March 31, 3	2018	i.e	2019	in share	
		No. of	% of	%of Shares	No. of	% of	%of Shares	holding
		Shares	total	Pledged /	Shares	total	Pledged	during
			Shares of	encumbere		Shares of	/encumber	the year
			the	d to total		the	ed to total	
			company	shares		company	shares	
1	MOHIB N.	1969352	65.39	0.00	1969352	65.39	0.00	0.00
	KHERICHA							
2	AMRITLAL	50000	1.66	0.00	50000	1.66	0.00	0.00
	SANGHVI							
3	SOFIA M.	129000	4.28	0.00	129000	4.28	0.00	0.00
	KHERICHA							
	Total	2148352	71.34	0.00	2148352	71.34	0.00	0.00

iii) Change in Promoters' Shareholding (please specify, if there is no change)

There is no change in the shareholding of the any of the promoters i.e. Mr. Mohib N Khericha, Ms. Sofia M Khericha and Mr. A. L. Sanghvi.

iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

SI. No.		Share holding a the year i.e. Mar			ng at the end of March 31, 2019	% change in share holding
	Name of Top 10 Shareholders	No. of shares	% of total shares of the	No. of shares	% of total shares of the	during the year
			company		company	
1.	Javed S. Saiyed	140415	4.66	140415	4.66	0.03
2.	Shaheen Javed Saiyed	125200	4.16	125200	4.16	0.00
3.	Mazda Limited	45732	1.52	45732	1.52	0.00
4.	Bhaveshbhai Jivanbhai Saspara HUF	32150	1.07	32150	1.07	0.00
5.	Santoshben Rajendrakumar Gandhi	24768	0.82	24768	0.82	0.00
6.	Chartered Motors Pvt Ltd	24667	0.82	24667	0.82	0.00
7.	Innovate Derivatives Private Limited	15121	0.50	22123	0.73	+0.23
8.	Lalit Kumar Gandhi	18188	0.60	18188	0.60	0.00
9.	Vibhaben Bhaveshbhai Saspara, Bhaveshbhai Jivanbhai Saspara	16900	0.56	16900	0.56	0.00
10.	Jainam Share Consultants Pvt. Ltd	7183	0.24	16680	0.55	+0.31

^{*} The shares of the Company are traded on a regular basis on BSE and hence the date wise increase / decrease in shareholding is not indicated.

v) Shareholding of Directors and Key Managerial Personnel:

SI.		Share holding at the end of the			Share holding at the end of				%	change		
No.		year i.e. March 31, 2018			the year i.e. March 31, 2019			in	share			
	Name of the Directors	No. of shares	%	of	total	No.	of	%	of	total	hold	ding
	and KMP		sha	res o	of the	shares		sha	res o	of the	dur	ing the

			company		company	year
	DIRECTORS					
N	Mr. Mohib N. Khericha	1969352	65.39	1969352	65.39	0.00
N	Mrs. Sofia M Khericha	129000	4.28	129000	4.28	0.00
N	Mr. A. L. Sanghvi	50000	1.66	50000	1.66	0.00
N	Mr. Ashok Kavdia	0	0.00	0	0.00	0.00
N	Mr. Deepak P. Singhvi	0	0.00	0	0.00	0.00
N	Mr. Sanatan N. Munsif	0	0.00	0	0.00	0.00
K	KMP					
N	Mr. Javed S. Saiyed	140415	4.66	140415	4.66	0.00
	Mr. Manoj Kumar Ramrakhyani	0	0.00	0	0.00	0.00

vi) INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment as on March 31, 2019:

	T		T	
	Secured Loans	Unsecured	Deposits	Total
	excluding deposits	Loans		Indebtedness
Indebtedness at the				
beginning of the				
financial year				
i) Principal Amount	2615488.00	0	0	2615488.00
ii) Interest due but	0	0	0	0
not paid iii) Interest accrued	0	0	0	0
but not due				
Total (i+ii+iii)	2615488.00	0	0	2615488.00
Change in				
Indebtedness during				
the financial year				
 Addition 	0	0	0	0
 Reduction 	2340134.00	0	0	2340134.00
Net Change	2340134.00	0	0	2340134.00
Indebtedness at the				
end of the financial				
year				
i) Principal Amount	275354.00	0	0	275354.00
ii) Interest due but	0	0	0	0
not paid				
iii) Interest accrued	0	0	0	0
but not due				
Total (i+ii+iii)	275354.00	0	0	275354.00

vii) REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Sl. No.	Particulars of Remuneration	Name of MD/WTD/	Total Amount
		Manager	
		Mr. Mohib N. Khericha	
1.	Gross salary		
	(a) Salary as per provisions contained in	1440000.00	1440000.00
	section 17(1) of the Income-tax Act, 1961		
	(b) Value of perquisites u/s 17(2) Income-tax	0	0
	Act, 1961		

	(c) Profits in lieu of salary under section 17(3)	0	0	
	Income-tax Act, 1961			
2.	Stock Option	0	0	
3.	Sweat Equity	0	0	
4.	Commission	0	0	
	- as % of profit	0	0	
	- others, specify	0	0	
5.	Others, please specify (Provident fund)	21600.00	21600.00	
	Total (A)	1461600.00	1461600.00	
	Ceiling as per the Act	As per Part II of Schedule V of the Companies		
		Act 2013		

B. Remuneration to other directors:

SI. No.	Particulars of Remuneration	Name of Directors			Total Amount
	1. Independent Directors				
	Names of Directors	Mr. Ashok	Mr. Deepak	Mr. Sanatan	
		Kavdia	P. Singhvi	N. Munsif	
	Fee for attending board committee meetings	0	0	0	0
	Commission	0	0	0	0
	Others, please specify	0	0	0	0
	Total (1)	0	0	0	0
	2. Other Non-Executive Directors				
	Names of Directors	Mr. A. L.	Mrs. Sofia		
		Sanghvi	M Khericha		
	Fee for attending board committee meetings	0	0		0
	Commission	0	0		0
	Others, please specify	0	0		0
	Total (2)	0	0		0
	Total (B)=(1+2)	0	0		0
	Total Managerial Remuneration	0	0	0	0
	Overall Ceiling as per the Act	No sitting fee is paid to any non-executive directors. However, as per Companies Act, 2013 read with relevant rules, sitting Fee of upto Rs.1.0 lac can be paid			directors.
		for attending each Board/Committee meeting.			

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

Sl. No.	Particulars of Remuneration	Key Manageria		
		Company	CFO	Total
		Secretary		
	Name of KMP	Mr. Manoj Kumar	Mr. Javed S.	
		Ramrakhyani	Saiyed	
1.	Gross salary			
	(a) Salary as per provisions contained in	1354620.00	1023900.00	2378520.00
	section 17(1) of the Income-tax Act, 1961			
	(b) Value of perquisites u/s 17(2) Income-tax	0	0	
	Act, 1961			
	(c) Profits in lieu of salary under section	0	0	
	17(3) Income-tax Act, 1961			
2.	Stock Option	0	0	0
3.	Sweat Equity	0	0	0
4.	Commission			
	- as % of profit	0	0	0

	- others, specify	0	0	0
5.	Others, please specify (Provident fund)	146880.00	72000.00	218880.00
	Total	1501500.00	1095900.00	2597400.00

viii) PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Туре	Section of the Companies Act	Brief Description	Details of Penalty / Punishment / Compounding fees imposed	Authority [RD / NCLT / COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty					
Punishment	Not Applicable as no penalties has been levied under Companies Act				
Compounding	Compounding				
B. DIRECTORS					
Penalty					
Punishment	Not Applicable as no penalties has been levied under Companies Act			s Act	
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty					_
Punishment	Not Applicable as no penalties has been levied under Companies Act				
Compounding					