

### General information about company

Scrip code	511696
NSE Symbol	NA
MSEI Symbol	NA
ISIN	INE953B01010
Name of the entity	CHARTERED CAPITAL AND INVESTMENT
Date of start of financial year	01-04-2018
Date of end of financial year	31-03-2019
Reporting Quarter	Quarterly
Date of Report	30-06-2018
Risk management committee	Not Applicable

## Annexure I

Annexure I to be submitted by listed entity on quarterly basis

## I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Add Notes

Is there any change in information of board of directors compare to previous quarter

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	Sanatan N Munsif	AABPM2994A	00054536	Non-Executive - Independent Director	Chairperson	Shareholder Director	30-09-2014		60	1	1	0		
2	Mr	Amritlal R Sanghvi	ABIPS3413N	00010733	Non-Executive - Non Independent Director	Not Applicable		27-09-2016			1	2	0		
3	Mr	Mohib N Khericha	AGMPK8152H	00010365	Executive Director	Not Applicable	MD	30-09-2014			4	2	4		
4	Mr	Deepak Singhvi	AAIPS3472L	00433635	Non-Executive - Independent Director	Not Applicable	Shareholder Director	30-09-2014		60	1	0	0		
5	Mr	Ashok Kavdia	ACAPK8069P	00054601	Non-Executive - Independent Director	Not Applicable	Shareholder Director	30-09-2014		60	2	2	2		
6	Mrs	Sofia M Khericha	AGTPK3860H	02695350	Non-Executive - Non Independent Director	Not Applicable		29-09-2017			1	0	0		

Add

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Annexure 1	
ii. Composition of Committees	
Disclosure of notes on composition of committees explanatory	<a href="#">Add notes</a>
Is there any change in information of committees compare to previous quarter	

Audit Committee Details					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks
1.	00054601	Ashok Kavola	Non-Executive - Independent Director	Chairperson	
2.	00020793	Ashutal B Sanghvi	Non-Executive - Non Independent Director	Member	
3.	00054536	Saratan N Murtuf	Non-Executive - Independent Director	Member	
4.					
5.					
6.					
7.					
8.					
9.					
10.					

Nomination and remuneration committee					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks
1.	00054601	Ashok Kavola	Non-Executive - Independent Director	Chairperson	
2.	00020793	Ashutal B Sanghvi	Non-Executive - Non Independent Director	Member	
3.	00054536	Saratan N Murtuf	Non-Executive - Independent Director	Member	
4.					
5.					
6.					
7.					
8.					
9.					
10.					

Stakeholders Relationship Committee					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks
1.	00054601	Ashok Kavola	Non-Executive - Independent Director	Chairperson	
2.	00020793	Ashutal B Sanghvi	Non-Executive - Non Independent Director	Member	
3.	00053965	Mahesh N Khericha	Executive Director	Member	
4.					
5.					
6.					
7.					
8.					
9.					
10.					

Risk Management Committee					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks
1.					
2.					
3.					
4.					
5.					
6.					
7.					
8.					
9.					
10.					

Corporate Social Responsibility Committee					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks
1.					
2.					
3.					
4.					
5.					
6.					
7.					
8.					
9.					
10.					

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1.						
2.						
3.						
4.						
5.						
6.						
7.						
8.						
9.						
10.						

## Annexure 1

### III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors  
explanatory

**Add Notes**

Sr

Date(s) of meeting (Enter dates of Previous quarter  
and Current quarter in chronological order)

Maximum gap between  
any two consecutive (in  
number of days)

**Add**

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1

30-05-2018

**Annexure 1**

**IV. Meeting of Committees**

Disclosure of notes on meeting of committees explanatory

**Add Notes**

Sr	Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Requirement of Quorum met	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)	Name of other committee
<div style="display: flex; justify-content: space-between; align-items: center;"> <span data-bbox="112 486 201 523"><b>Add</b></span> <span data-bbox="224 486 336 523"><b>Delete</b></span> </div>							
1	Audit Committee	30-05-2018	Yes	3	13-02-2018	105	

**Annexure 1**

**V. Related Party Transactions**

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	NA	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	
<b>Disclosure of notes on related party transactions</b>			<a href="#" style="background-color: #c00000; color: white; padding: 5px 15px; border-radius: 5px;">Add Notes</a>
<b>Disclosure of notes of material transaction with related party</b>			<a href="#" style="background-color: #c00000; color: white; padding: 5px 15px; border-radius: 5px;">Add Notes</a>

## Annexure 1

## VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 <b>a. Audit Committee</b>	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>b. Nomination &amp; remuneration committee</b>	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>c. Stakeholders relationship committee</b>	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>d. Risk management committee (applicable to the top 100 listed entities)</b>	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	<b>Any comments/observations/advice of Board of Directors may be mentioned here:</b>	<a href="#">Add Notes</a>

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## Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	Manoj Kumar Ramrakhyani
2	Designation	Company Secretary

**Annexure II**

**Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)**

**I. Disclosure on website in terms of Listing Regulations**

Sr	Item	Compliance status	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		www.charteredcapital.net
2	Terms and conditions of appointment of independent directors	Yes		www.charteredcapital.net
3	Composition of various committees of board of directors	Yes		www.charteredcapital.net
4	Code of conduct of board of directors and senior management personnel	Yes		www.charteredcapital.net
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		www.charteredcapital.net
6	Criteria of making payments to non-executive directors	Yes		www.charteredcapital.net
7	Policy on dealing with related party transactions	Yes		www.charteredcapital.net
8	Policy for determining 'material' subsidiaries	NA		
9	Details of familiarization programmes imparted to independent directors	Yes		www.charteredcapital.net
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		www.charteredcapital.net
11	email address for grievance redressal and other relevant details	Yes		www.charteredcapital.net
12	Financial results	Yes		www.charteredcapital.net
13	Shareholding pattern	Yes		www.charteredcapital.net
14	Details of agreements entered into with the media companies and/or their associates	NA		
15	New name and the old name of the listed entity	NA		
16	Disclosure of notes on website in terms of Listing Regulations explanatory [Text Block]		<div data-bbox="1167 927 1469 975" style="background-color: #c00000; color: white; padding: 5px; display: inline-block; border-radius: 5px;">Add Notes</div>	



## Annexure II

## II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Review of Compliance Reports	17(3)	Yes	
5	Plans for orderly succession for appointments	17(4)	Yes	
6	Code of Conduct	17(5)	Yes	
7	Fees/compensation	17(6)	NA	
8	Minimum Information	17(7)	Yes	
9	Compliance Certificate	17(8)	Yes	
10	Risk Assessment & Management	17(9)	Yes	
11	Performance Evaluation of Independent Directors	17(10)	Yes	
12	Composition of Audit Committee	18(1)	Yes	
13	Meeting of Audit Committee	18(2)	Yes	
14	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
15	Composition of Stakeholder Relationship Committee	20(1) & (2)	Yes	
16	Composition and role of risk management committee	21(1),(2),(3),(4)	NA	
17	Vigil Mechanism	22	Yes	
18	Policy for related party Transaction	23(1),(5),(6),(7) & (8)	Yes	
19	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	NA	
20	Approval for material related party transactions	23(4)	NA	
21	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
22	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	NA	
23	Maximum Directorship & Tenure	25(1) & (2)	Yes	
24	Meeting of independent directors	25(3) & (4)	Yes	
25	Familiarization of independent directors	25(7)	Yes	
26	Memberships in Committees	26(1)	Yes	
27	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
28	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
29	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
Any other information to be provided				<a href="#">Add Notes</a>

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## Annexure II

1	Name of signatory	Manoj Kumar Ramrakhiani
2	Designation	Company Secretary

Annexure II		
III. Affirmations		
Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	NA
Any other information to be provided		<a href="#">Add Notes</a>

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Annexure II		
1	Name of signatory	Manoj Kumar Ramraki
2	Designation	Company Secretary

**Annexure III**

**Annexure III to be submitted by listed entity at the end of 6 months after end of financial year along-with second quarter report of next financial year**

**I. Affirmations**

Sr	Broad heading	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	NA	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	NA	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	NA	
4	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	NA	
<b>Any other information to be provided</b>				<div style="border: 1px solid black; background-color: #c00000; color: white; padding: 5px; display: inline-block;">Add Notes</div>

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**Annexure III**

1	Name of signatory	Manoj Kumar Ramrakhyani
2	Designation	Company Secretary

### Signatory Details

Name of signatory	Manoj Kumar Ramrakhyani
Designation of person	Company Secretary
Place	Ahmedabad
Date	11-07-2018