

**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements)**

1	Name of Listed Entity: Chartered Capital & Investment Limited
2	Scrip Code/Name of Scrip/Class of Security: 511696
3	Share Holding Pattern Filed under: Reg. <del>31(1)(a)</del> /Reg. 31(1)(b)/Reg. <del>31(1)(c)</del>
a.	If under 31(1)(b) then indicate the report for Quarter ending - December 31, 2015
b.	If under 31(1)(c) then indicate date of allotment/extinguishment
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :20/01/2016

NSDL : 31/12/2015

CDSL :31/12/2015

## Chartered Capital &amp; Investment Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
								Class eg: X	Class eg: y									Total
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	6	2009102	0	0	2009102	66.7121	2009102	0	2009102	66.7121	0	66.7121	0	0.0000	0	0.0000	2009102
(B)	Public	701	1002498	0	0	1002498	33.2879	1002498	0	1002498	33.2879	0	33.2879	0	0.0000	NA	NA	898868
(C)	Non Promoter - Non Public Shares					0			0			0		0.0000	NA	NA		
(C1)	Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	707	3011600	0	0	3011600	100.0000	0	0	0	100.0000	0	100.0000	0	0.0000	0	0.0000	2907970

## Chartered Capital &amp; Investment Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	PAN	Nos. of share holders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
<b>1</b>	<b>Indian</b>																		
	<b>Individuals / Hindu Undivided Family</b>																		
(a)	Mohib Nomanbhai Khericha	AGMPK8152H	6	2009102	0	0	2009102	66.7121	2009102	0	2009102	66.7121	0	66.7121	0	0.0000	0	0.0000	2009102
	Sofia Mohib Khericha	AGTPK3860H	1	129000	0	0	129000	4.2834	129000	0	129000	4.2834	0	4.2834	0	0.0000	0	0.0000	129000
	Amritlal Sanghvi	ABIPS3413N	1	50000	0	0	50000	1.6602	50000	0	50000	1.6602	0	1.6602	0	0.0000	0	0.0000	50000
(b)	<b>Central Government / State Government(s)</b>		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	<b>Financial Institutions / Banks</b>		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	<b>Any Other (Specify)</b>		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	<b>Sub Total (A)(1)</b>		6	2009102	0	0	2009102	66.7121	2009102	0	2009102	66.7121	0	66.7121	0	0.0000	0	0.0000	2009102
<b>2</b>	<b>Foreign</b>																		
	<b>Individuals (Non-Resident Individuals / Foreign Individuals)</b>																		
(a)	Individuals		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	<b>Government</b>		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	<b>Institutions</b>		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	<b>Foreign Portfolio Investor</b>		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	<b>Any Other (Specify)</b>		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	<b>Sub Total (A)(2)</b>		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	<b>Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)</b>		6	2009102	0	0	2009102	66.7121	2009102	0	2009102	66.7121	0	66.7121	0	0.0000	0	0.0000	2009102

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

## Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

## Chartered Capital &amp; Investment Limited

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights Class eg: X	Class eg: y	Total			Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Institutions																		
(a)	Mutual Fund		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(f)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(g)	Insurance Companies		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(i)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Sub Total (B)(1)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Central Government/ State Government(s)/ President of India																		
	Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
3	Non-Institutions																		
(a)	Individuals			0	0										0		NA	NA	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		643	445073	0	0	445073	14.7786	445073	0	445073	14.7786	0	14.7786	0	0.0000	NA	NA	341743

	<b>ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>																		
		8	398547	0	0	398547	13.2337	398547	0	398547	13.2337	0	13.2337	0	0.0000	NA	NA	398547	
	Javed S. Saiyed	ABHPS9302B	123579	0	0	123579	4.1034	123579	0	123579	4.1034	0	4.1034	0	0.0000	NA	NA	123579	
	Shaheen Javed Saiyed	BEXPS8035K	125200	0	0	125200	4.1573	125200	0	125200	4.1573	0	4.1573	0	0.0000	NA	NA	125200	
	<b>NBFCs registered with</b>																		
(b)	<b>RBI</b>		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
(c)	<b>Employee Trusts</b>		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
	<b>Overseas</b>																		
(d)	<b>Depositories(holding DRs) (balancing figure)</b>		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
(e)	<b>Any Other (Specify)</b>		50	158878	0	0	158878	5.2755	158878	0	158878	5.2755	0	5.2755	0	0.0000	NA	NA	158578
	<b>Hindu Undivided Family</b>		20	40140	0	0	40140	1.3328	40140	0	40140	1.3328	0	1.3328	0	0.0000	NA	NA	40140
	Bhaveshbhai Jivanbhai Saspara Huf	AAIHS5581A	32150	0	0	32150	1.0675	32150	0	32150	1.0675	0	1.0675	0	0.0000	NA	NA	32150	
	<b>Non Resident Indians (Repat)</b>		2	2700	0	0	2700	0.0897	2700	0	2700	0.0897	0	0.0897	0	0.0000	NA	NA	2700
	<b>Clearing Member</b>		6	27258	0	0	27258	0.9051	27258	0	27258	0.9051	0	0.9051	0	0.0000	NA	NA	27258
	<b>Bodies Corporate</b>		22	88780	0	0	88780	2.9479	88780	0	88780	2.9479	0	2.9479	0	0.0000	NA	NA	88480
	Mazda Limited	AABCM9273H	45732	0	0	45732	1.5185	45732	0	45732	1.5185	0	1.5185	0	0.0000	NA	NA	45732	
	<b>Sub Total (B)(3)</b>		701	1002498	0	0	1002498	33.2879	1002498	0	1002498	33.2879	0	33.2879	0	0.0000	NA	NA	898868
	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)</b>		701	1002498	0	0	1002498	33.2879	1002498	0	1002498	33.2879	0	33.2879	0	0.0000	NA	NA	898868

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:Institutions/Non Institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

GENERATED ON :20/01/2016

NSDL : 31/12/2015

CDSL :31/12/2015

## Chartered Capital &amp; Investment Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights Class eg: X	Class eg: Y	Total			Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1 Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

## Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

<b>Table IIA - Unclaimed Details</b>
--------------------------------------

<b>Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.</b>	
---	--

<b>No. of shareholders</b>	<b>No of Shares held</b>